

DEPARTMENT OF TRANSPORTATION U.S. COAST GUARD CG-5585 APPLICATION FOR VESSEL CERTIFICATE OF FINANCIAL RESPONSIBILITY (WATER POLLUTION)		GENERAL (PART 1 OF 4 PARTS)
1. (a) Legal name of applicant (name of responsible operator of all vessels listed in Part II):		<u>INSTRUCTIONS</u> Please type or print and submit this application to Director, Coast Guard National Pollution Funds Center (cv), 4200 Wilson Boulevard, Suite 1000, Arlington, VA 22203-1804. The application is in four parts: Part I-General; Part II-Evidence of Financial Responsibility; Part III-Declaration; Part IV-Concurrence of Agent. Applicants must answer all applicable questions. If a question does not apply, answer "not applicable." Incomplete applications will be returned. If additional space is required, supplemental sheets may be attached. All information must be provided in the English language. THIS SPACE FOR USE BY USCG ONLY
(b) English equivalent of legal name if customarily written in language other than English:		
(c) Trade name, if any		
2. Is this the first time the above-named applicant is submitting application Form CG-5585? YES NO If NO, what Coast Guard control number was assigned to the first application Form CG-5585?		
3. State applicant's legal form of organization, i.e., whether operating as an individual, corporation, partnership, association, joint stock company, business trust, or other organized group of persons (whether incorporated or not) or as a receiver, trustee, or other liquidating agent and briefly describe current business activities and length of time engaged therein.		
(a) If a corporation, association, or other organization, indicate:		
State in the United States, or foreign country, in which incorporated or organized:		Date of incorporation or organization:
(b) If a partnership, provide name and address of each partner.		
4. Name and address of applicant's United States agent or other person authorized by applicant to accept service of process and receipt of notices of designations and presentations of claims in the United States (collectively referred to as "service of process"). (See Part IV) (U.S. applicants may appoint themselves as agent, eliminating the need to complete Part IV.)		

PREVIOUS EDITION IS OBSOLETE

EVIDENCE OF FINANCIAL RESPONSIBILITY (PART II OF 4 PARTS)

5. List all vessels which require Certificates of Financial Responsibility under 33 CFR 138.12. In column (f) indicate the number "1" if the operator is also the registered owner. Indicate "2" in column (f) if the operator is not the registered owner.

NAME OF VESSEL (a)	TYPE OF VESSEL (See note below) (b)	COUNTRY OF REGISTRY (c)	US VESSELS: Documentation Number FOREIGN VESSELS: International Maritime Organization (IMO) Number or Country of Registration Number if no IMO number has been assigned. (d)	GROSS TONS (e)	"1" OR "2" (f)

NOTE: Please designate the type of vessel by using a number from one of the following categories:

CARGO VESSELS, SELF-PROPELLED

Breakbulk freighter 10
 Containership * 11
 Roll on-roll off 12
 Barge carrier (e.g., lash, seabee) 13
 Combination breakbulk containership * 14
 Combination roll on-roll off containership * 15
 Combination barge carrier containership * 16
 Tanker 17
 Dry bulk carrier 18
 All other self-propelled cargo vessels 19
 Oil/bulk/ore carrier (OBO) 20

PASSENGER VESSELS

Passenger vessel ** 30
 Combination passenger/cargo vessel ** 31
 Ferry ** 32

RECREATIONAL VESSELS

All types of pleasure craft 40

UTILITY CRAFT

Tank barge 50
 Tug and towboat 51
 Barge and scow 52
 Drilling rig 53
 Fishing vessel 54
 Factory vessel 55
 Research vessel 56
 All other utility craft*** 57

MISCELLANEOUS

Vessels not otherwise specified 60

* Containership categories should be assigned only to vessels having fixed container cells or regularly carrying multi-tier container deckloads.

** Passenger categories should be assigned only to vessels carrying more than 12 passengers for hire.

*** Includes floating cranes, dredges, docs, etc.

5. (g) if applicant indicated "2" for any vessel listed above-in column 5(f), please indicate:

NAME OF VESSEL	OWNER	OWNER'S MAILING ADDRESS

PART II (CONT'D)

6. Items 7 through 11 are methods of establishing financial responsibility. Check the appropriate box(es) below and answer only the item(s) which are applicable to this application:

Insurance (Answer item 7)	Surety Bond (Answer item 8)	Financial Guaranty (Answer item 9)	Self-Insurance (Answer item 10)	Other Services (Answer item 11)
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7. Name and address of applicant's insurance guarantor (evidence of insurance acceptable to the Director, Coast Guard National Pollution Funds Center on Insurance Guaranty Form CG-5586 or Master Insurance Guaranty Form CG-5586-1, must be filed before a Certificate will be issued):

8. Total amount of surety bond guaranty.

\$

Name and address of applicant's surety bond guarantor (*Surety Bond Guaranty Form CG-5586-2 must be filed before a Certificate will be issued*):

9. Name and address of applicant's financial guarantor (*Financial Guaranty Form CG-5585-3, or Master Financial Guaranty Form CG-5586-4 and all required financial data must be filed before a Certificate will be issued*):

Financial Guarantor's fiscal year.

____ to ____
(Month) (Day) (Month) (Day)

10. If applicant intends to qualify as a self-insurer attach all required financial data and indicate fiscal year.

____ to ____
(Month) (Day) (Month) (Day)

11. If applicant intends to qualify through other evidence, supply all information required by 33 CFR 138.80(b)(5).

DECLARATION (PART III OF 4 PARTS)

12. Applicant's mailing address (street, number, post office box, city, state or country. Indicate ZIP code if in the United States):

14. Type or print in this space the name and title of the official who is signing the application:

15. Address of principal office in the United States (if any):

13. Telefax number and/or telex number and answerback:

16. Telephone no. (area code and number):

I declare that I have examined this application, including any accompanying schedules and statements, and, to the best of my knowledge and belief, it is true, correct, and complete. Furthermore, the applicant named in item 1(a) of Part 1 above is the responsible operator of all vessels now listed in or later added to this application. I agree that in the event the agent designated in item 4 of Part 1 above, or that agent's replacement as may be designated later with the approval of the Director, Coast Guard National Pollution Funds Center, cannot be served due to death, disability, unavailability, or similar event, the Director, Coast Guard National Pollution Funds Center, is considered the agent for service of process. I have signed this application in my capacity as an authorized official of the applicant, or, if acting under a power of attorney, pursuant to the power vested in me by the applicant as evidenced by the attached power of attorney.

IMPORTANT



DATE

SIGNATURE OF AUTHORIZED OFFICIAL

NOTE Please be sure that Parts I, II, and III have been completed in full and that Part III has been dated and signed. Then proceed to Part IV, attached.

NO CERTIFICATE WILL BE ISSUED UNLESS A COMPLETED APPLICATION FORM HAS BEEN RECEIVED, PROCESSED AND APPROVED.

COMMENTS

Any person who knowingly and willfully makes a false statement in this application is subject to the sanctions prescribed in 18 U.S.C. 1001

CONCURRENCE OF AGENT (PART IV OF 4 PARTS)

PART IV- A must be completed by the person designated in item 4 of Part 1 to serve as applicant's United States agent for service of process. Part IV-B must be completed by the applicant. After Parts IV-A and IV-B are completed, Part IV should be submitted to the Director, Coast Guard National Pollution Funds Center, by the applicant or by the agent, either separately or together with Parts I, II, and III. *(Part IV need not be completed if the agent designated in item IV of Part I already has submitted to the U.S. Coast Guard an acceptable blanket Concurrence of Agent, agreeing to serve on behalf of certain applicants who designate that agent. Part IV also need not be completed if the applicant is a United States entity and has appointed itself as agent in item 4 of Part I.)*

PART IV-A

It is hereby agreed that

shall serve as the applicant's United States agent for service of process for purposes of 33 CFR part 138. This designation and agreement shall cease immediately in the event the applicant designates a new agent acceptable to the Director, National Pollution Funds Center.

Date:

Signature of person signing on behalf of agent:

Title:

Business address:

PART IV-B (TO BE COMPLETED BY APPLICANT)

Name of applicant (from item 1(a)):

Signature of authorized official signing on behalf of applicant

(Person signing here should also sign in appropriate place on Part III)

Date:

Type or Print Name and Title: _____

U. S. Department
of Transportation

United States
Coast Guard



Director
United States Coast Guard(cv)
National Pollution Funds Center

4200 Wilson Blvd
Suite 1000
Arlington, VA 22203

Phone: 202/493-6780

Fax: 202/493-6781

WEBSITE: www.cofr.npfc.gov

Please find the document(s) requested;

Form CG-5585; Application for Certificate of Financial Responsibility, which may be reproduced

Persons who intend to apply for Certificates of Financial Responsibility (Water Pollution) are urged to read 33 CFR Part 138 carefully and complete application Form CG-5585 in full, so that delays in processing may be avoided. Only "operators" as defined in 33 CFR section 138.20(b) may apply for Certificates and only one copy of the application form is necessary, regardless of the number of vessels operated by the applicant. Applications should be filed at least 21 days prior to the date the Certificate is required.

First-time applications are subject to an application fee of \$150 (an additional application fee is not required when renewing or adding vessels, either by letter or supplementary application forms, to the original application at later dates). In addition, each requested Certificate is subject to a certification fee of \$80. Fees may be submitted by check, draft, or postal money order in United States currency and must be made payable to the United States Coast Guard. The application may be faxed for initial submission.

In order to qualify for one or more Certificates, an applicant must demonstrate financial ability to pay "removal costs" which could result from a discharge of oil or hazardous substances caused by any one of the vessels for which the applicant desires Certificates. Acceptable methods of establishing evidence of financial responsibility (e.g., Insurance Form CG-5586) are set forth in 33 CFR section 138.80(b). Insurance, guaranty, and surety bond coverage on USCG forms will be accepted only if executed by insurers, guarantors or sureties holding USCG approval. Certificates cannot be issued unless a properly completed application form, acceptable evidence of financial responsibility and fees are submitted.

No vessel over 300 gross tons (except "public vessels" (33 CFR section 138.12(d)/138.20) and nonself-propelled barges which do not carry oil or hazardous substances as cargo or fuel, including fuel carried for the purpose of powering on board equipment or for any other purpose) may use the navigable waters of the United States for any purposes unless it carries a Certificate of Financial Responsibility (Water Pollution) covering such vessel. The "United States" includes Puerto Rico, the Northern Marianas, Guam, American Samoa, the U.S. Virgin Islands, and the Trust territory of the Pacific Islands. The "navigable waters" of the United States include inland waters and the three-mile territorial sea.

An operator may apply in advance for Certificates covering vessels which might be using United States waters at some future time. Obtaining Certificates in advance for such vessels would relieve the operator of the possibility of delay or detainment until a Certificate was obtained from the Coast Guard.

If an operator who already holds Certificates becomes or intends to become the operator of additional vessels at some future time, and he wishes to have such additional vessels certified, he should write to this office giving the following information with respect to each such vessel: (1) type of vessel, by code number listed in Form CG-5585, item 5; (2) name and gross tons of the vessel; (3) country of registry, IMO number or registration number; and (4) the name and mailing address of the legally responsible operator and the registered owner. In the alternative, an application Form CG-5585 may be submitted with a letter explaining the purpose of such supplemental application form. Any such additional vessel must be covered by evidence of financial responsibility. A certification fee of \$80 per vessel also must be submitted.

Persons who have any questions concerning the enclosed material should write or telephone the National Pollution Funds Center's Vessel Certification Division (NPFC-cv) in Arlington, Virginia. All submissions of documents must be made directly to this office at the address above.

Regards,
U.S. Coast Guard, Vessel Certification